



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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6.23.03

Applicant: Jerald A. Hammann
Serial No.: 09/840,332
Filed: April 23, 2001
Date Due: July 11, 2003
Title: SYSTEM AND METHOD EMPLOYING YIELD MANAGEMENT IN HUMAN-FACTOR RESOURCE INDUSTRY

Examiner: Unassigned
Group Art Unit: 2161
Docket No.: H238.101.101

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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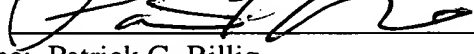
Sir/Madam:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Supplemental Information Disclosure Statement (2 pgs.).
- ☒ Form PTO-1449 (1 pg.).
- ☒ Certification under 37 C.F.R. § 1.97(e)(1) (1 pg.).
- ☒ Copies of (4) U.S. Patent Document(s), (0) Foreign Document(s), and (3) Other Art Document(s).
- ☒ Return Postcard.

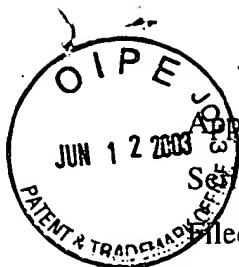
Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

Customer No. 025281

By: 
Name: Patrick G. Billig
Reg. No.: 38,080

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9 day of June, 2003.

By: 
Name: Patrick G. Billig



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerald A. Hammann

Examiner: Unassigned

Serial No.: 09/840,332

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Title: SYSTEM AND METHOD EMPLOYING YIELD MANAGEMENT IN
HUMAN-FACTOR RESOURCE INDUSTRY

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
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Dear Sir/Madam:

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicant further requests a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Supplemental Information Disclosure Statement is being submitted within three months of filing national application; or date of entry of national application; or before the mailing date of the first Office Action on the merits, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Supplemental Information Disclosure Statement

Applicant: Jerald A. Hammann

Serial No.: 09/840,332

Filing Date: April 23, 2001

Docket: H238.101.101

Title: SYSTEM AND METHOD EMPLOYING YIELD MANAGEMENT IN HUMAN-FACTOR RESOURCE INDUSTRY

Applicant respectfully requests consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicant's representative at the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

Jerald A. Hammann,

By his attorneys,

DICKE, BILLIG & CZAJA, PLLC

Fifth Street Towers, Suite 2250

100 South Fifth Street

Minneapolis, MN 55402

Telephone: (612) 573-2003

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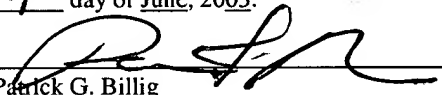
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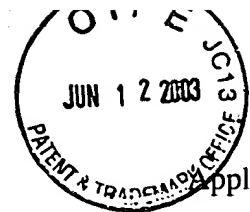
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Dated: June 9, 2003
PGB:cmj


Patrick G. Billig
Reg. No. 38,080

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9 day of June, 2003.

By 
Name: Patrick G. Billig



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Applicant: Jerald A. Hammann Examiner: Unassigned
Serial No.: 09/840,332 Group Art Unit: 2161
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Title: SYSTEM AND METHOD EMPLOYING YIELD MANAGEMENT IN
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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dear Sir/Madam:

Applicant respectfully requests consideration of these references during prosecution of the above-identified matter. Applicant certifies that each item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

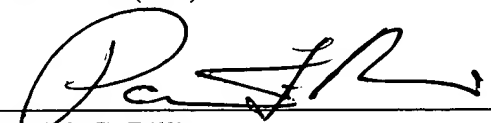
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Respectfully submitted,

Jerald A. Hammann,

By his attorneys,
DICKE, BILLIG & CZAJA, PLLC
Fifth Street Towers, Suite 2250
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Minneapolis, MN 55402
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By 
Name: Patrick G. Billig

FORM PTO-1449

Docket No.: H238.101.101

Serial No.: 09/840,332

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Jerald A. Hammann

Filing Date: April 23, 2001

Group Art: 2161

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,630,070	5/1997	Dietrich et al.			
AB	5,640,569	6/1997	Miller et al.			
AC	5,712,985	1/1998	Lee et al.			
AD	6,526,392	2/2003	Dietrich et al.			
AE						
AF						
AG						
AH						
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AJ						
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FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translated Yes No
AM						Yes
AN						Yes
AO						Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Knowledge Technology, "AI @ Work," PC AI, Vol. 12, No. 3, pp. 39-40 (May-June 1998). (INSPEC Abstract No. C9809-7170-001)
AQ	Ward Systems Group, Inc., "Predicting Consumer Response, www.wardsystems.com , pps. 2, (May-June 1998).
AR	A copy of PCT International Search Report for International Application No. PCT/US02/35229 mailed on April 11, 2003 (5 pages).

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.